

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 5293905  |
| <b>Application Number:</b>                  | 10517960   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 3767   |
| <b>Title of Invention:</b>                  | System and method for analyzing and displaying security trade transactions |
| <b>First Named Inventor/Applicant Name:</b> | Douglas Ray Duquette   |
| <b>Customer Number:</b>                     | 39310  |
| <b>Filer:</b>                               | Nicole Ellison Lammers   |
| <b>Filer Authorized By:</b>                 |  |
| <b>Attorney Docket Number:</b>              | 05-1124  |
| <b>Receipt Date:</b>                        | 07-MAY-2009  |
| <b>Filing Date:</b>                         | 14-DEC-2004  |
| <b>Time Stamp:</b>                          | 14:15:40   |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371                                       |

### Payment information:

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| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$ 180          |
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| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

- Charge any Additional Fees required under 37 C.F.R. 1.492 (National application filing, search, and examination fees)
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**File Listing:**

| Document Number | Document Description                                    | File Name    | File Size(Bytes)/<br>Message Digest                     | Multi Part /.zip | Pages (if appl.) |
|-----------------|---|--------------|---|------------------|------------------|
| 1               | Information Disclosure Statement (IDS)<br>Filed (SB/08) | IDS_Form.pdf | 1005813<br><br>8549b76da44b9c10320cd0bb78d0a8c7df9a0660 | no               | 4                |

**Warnings:****Information:**

|   |                         |              |   |    |   |
|---|-------------------------|--------------|---|----|---|
| 2 | Fee Worksheet (PTO-875) | fee-info.pdf | 29802<br><br>df48d6aba241ab71db4e723f118b6ed88b9b8e62 | no | 2 |
|---|-------------------------|--------------|---|----|---|

**Warnings:****Information:**

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| <b>Total Files Size (in bytes):</b> |  |  | 1035615 |
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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

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